

# Digital Signatures and (Implicit) Certificates

Christopher A. Wood

University of California Irvine & Palo Alto Research Center

*woodc1@uci.edu*  
*cwood@parc.com*

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# Overview

Motivating Signatures

Digital Signature Algorithms

Public Key Infrastructure

Implicit Certificates

## Introduction

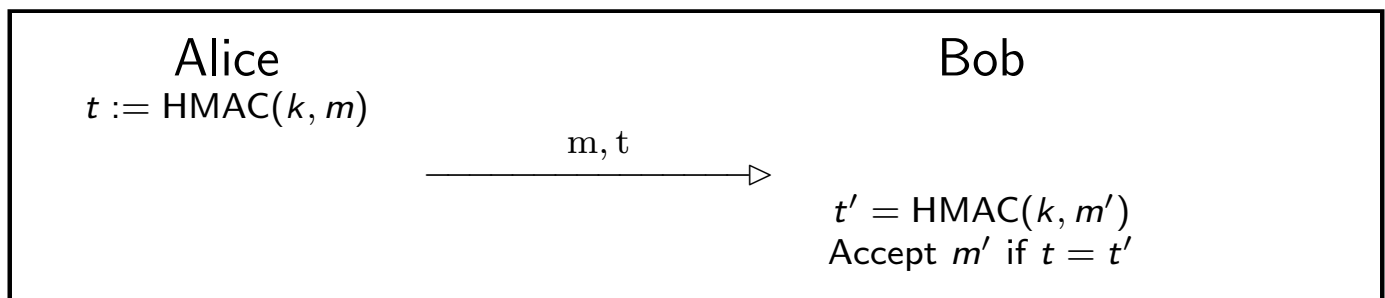
**Scenario:** Alice wants to send message  $m$  to Bob over a public channel subject to malicious adversaries

Bob receives message  $m'$  over the channel

**Problem:** How does Bob verify that  $m = m'$ ?

## Shared Key Solution

If Alice and Bob share a common key  $k$ ...



HMAC is a standard Message Authentication Code algorithm – a keyed hash

# Key Agreement

How do Alice and Bob agree on  $k$ ?

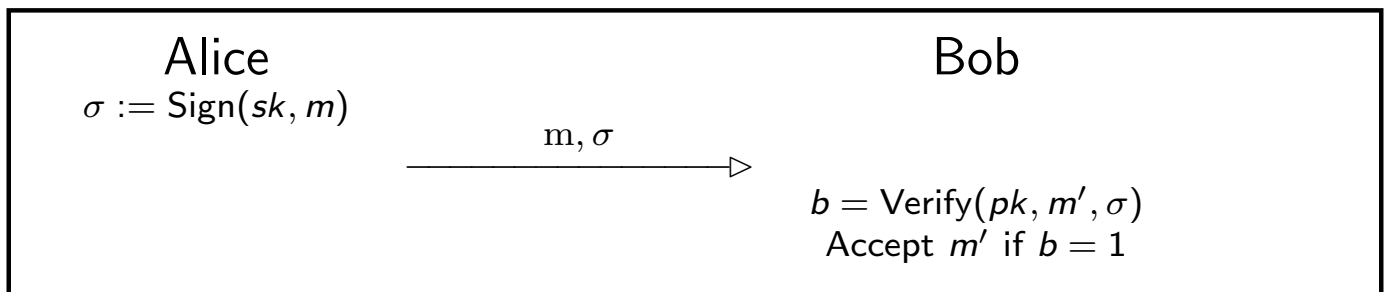
- ▶ Carrier pigeons?
- ▶ Diffie Hellman? (subject to Man-In-The-Middle)
- ▶ ...

Public-key cryptography is a “better” approach.



## Public Key Solution

If Bob knows Alice's public key  $pk$ ...



**Question:** What options do we have for Sign and Verify?

# RSA Signatures

Private key  $d$ , public key  $e$ , RSA modulus  $N$

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## Algorithm 1 $\text{Sign}(sk = d, m)$

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```
 $l = H(m)$   
 $\sigma = l^d \pmod N$   
return  $\sigma$ 
```

---

---

## Algorithm 2 $\text{Verify}(pk = e, m', \sigma)$

---

```
 $l = \sigma^e \pmod N$   
 $l' = H(m')$   
return  $l == l'$ 
```

---



## ElGamal Signatures

Secret key  $x$ , public key  $(p, g, y = g^x \pmod p)$

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### Algorithm 3 $\text{Sign}(sk = x, m)$

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```
 $s = 0$   
while  $s = 0$  do  
   $k \xleftarrow{\$} [2, p - 2]$  such that  $(k, p - 1) = 1$   
   $r := g^k \pmod p$   
   $s := (H(m) - xr)k^{-1} \pmod{p - 1}$   
  if  $s > 0$  then  
    return  $(r, s)$   
  end if  
end while
```

---

---

### Algorithm 4 $\text{Verify}(pk = (p, g, y \equiv g^x \pmod p), m', \sigma = (r, s))$

---

```
if not  $(0 < r < p \text{ or } 0 < s < p - 1)$  then  
  reject  
end if  
return  $g^{H(m)} == y^r r^s$ 
```

---

## DSA Sign

Public key  $(p, q, g, y \equiv g^x \pmod{p})$ , private key  $x$

---

### Algorithm 5 Sign( $sk = x, m$ )

---

```
 $r := 0; s := 0$   
while  $r == 0$  do  
   $k \xleftarrow{\$} [1, q - 1]$   
   $r := (g^k \pmod{p}) \pmod{q}$   
  if  $r > 0$  then  
     $s := k^{-1}(H(m) + xr) \pmod{q}$   
    if  $s > 0$  then  
      return  $\sigma = (s, r)$   
    end if  
  end if  
end while
```

---

## DSA Verify

Public key  $(p, q, g, y \equiv g^x \pmod{p})$ , private key  $x$

---

**Algorithm 6**  $\text{Verify}(pk = (p, q, g, y \equiv g^x \pmod{p}), m', \sigma = (s, r))$

---

**if** not  $(0 < r < q$  or  $0 < s < q)$  **then**

**reject**

**end if**

$w := s^{-1} \pmod{q}$

$u_1 := H(m') \cdot w \pmod{q}$

$u_2 := r \cdot w \pmod{q}$

$v := ((g^{u_1} y^{u_2}) \pmod{p}) \pmod{q}$

**return**  $v == r$

---

## ECDSA Sign

Public parameters  $(E, G, n)$ , private key  $q$ , public key  $Q = qG$

---

### Algorithm 7 Sign( $sk = q, m$ )

---

```
 $r := 0; s := 0$   
 $e := H(m)$   
 $z := L_n(e)$  {Leftmost  $n$  bits of  $e$ }  
while  $r == 0$  do  
   $k \xleftarrow{\$} [1, n - 1]$   
   $(x_1, y_1) := kG$   
   $r := x_1 \bmod n$   
  if  $r > 0$  then  
     $s := k^{-1}(z + rq) \bmod n$   
    if  $s > 0$  then  
      return  $\sigma = (r, s)$   
    end if  
  end if  
end while
```

---

## ECDSA Verify

Public parameters  $(E, G, n)$ , private key  $q$ , public key  $Q = dG$

---

**Algorithm 8**  $\text{Verify}(pk = Q, m', \sigma = (r, s))$

---

```
if  $r, s \notin [1, n - 1]$  then
  reject
end if
 $e := H(m')$ 
 $z := L_n(e)$ 
 $w := s^{-1} \pmod n$ 
 $u_1 := zw \pmod n$ 
 $u_2 := rw \pmod n$ 
 $(x_1, y_1) := u_1G + u_2Q$ 
return  $r == x_1 \pmod n$ 
```

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# Verification Improvements

Batch!

# Public Key Infrastructure

**Problem:** How does Bob *obtain and trust* Alice's public key?

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**Solution:** Public Key Infrastructure (PKI)



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PKI arrangement:

- ▶ Public keys and identities are bound together using **certificates**

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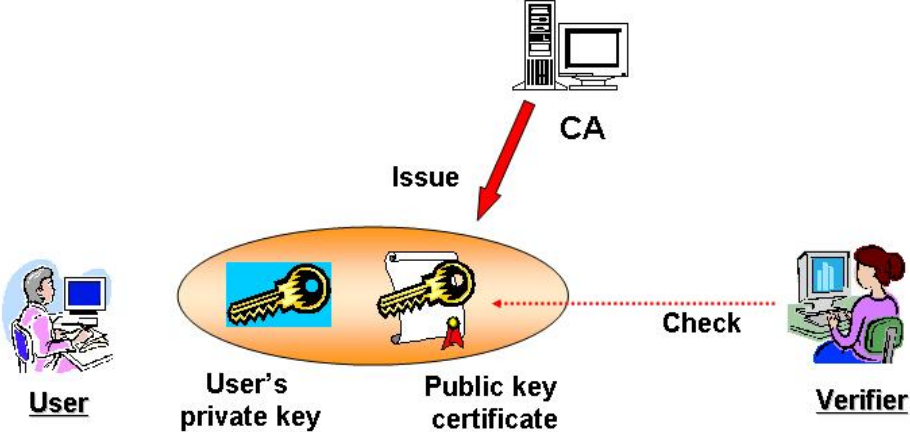
PKI arrangement:

- ▶ Public keys and identities are bound together using **certificates**
- ▶ Certificates are issued by certificate authorities (CAs)
- ▶ CAs are delegated permission to issue certificates by their parent CA
- ▶ The root CA is “absolute” – a trusted anchor for the **certificate chain**

**Key observation:** Bob trusts the root CA

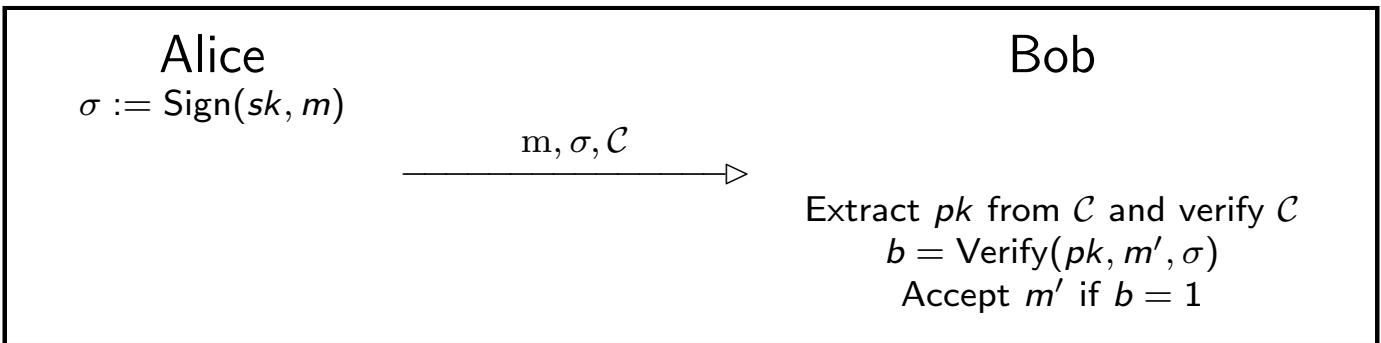
# An Example

## Public Key Infrastructure (PKI)



## Using the PKI

Let  $\mathcal{C}$  be Alice's certificate...



Bob verifies  $\mathcal{C}$  by checking to see if it belongs to a certificate chain rooted at one of his trust anchors.

# One Downside of PKI

Certificates are big!

| Security Level | Public Key Size (bits) |            | Ratio ECC/RSA | Certificate Size (bits) |            |
|----------------|------------------------|------------|---------------|-------------------------|------------|
|                | <b>ECC</b>             | <b>RSA</b> |               | <b>ECDSA</b>            | <b>RSA</b> |
| 80             | 192                    | 1024       | 5x smaller    | 577                     | 2048       |
| 112            | 224                    | 2048       | 9x smaller    | 673                     | 4096       |
| 128            | 256                    | 3072       | 12x smaller   | 769                     | 6144       |
| 192            | 384                    | 7680       | 20x smaller   | 1153                    | 15360      |
| 256            | 521                    | 15360      | 29x smaller   | 1564                    | 30720      |

# Implicit Certificates

Enter **Implicit Certificates**



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Idea: Public-keys are **derived** from certificates – neither a signature from the CA nor the entity's public key are explicitly included in the certificate

Various advantages, including:

- ▶ Smaller (23x smaller than RSA certificates with 128-bit security)
- ▶ Faster (deriving a public key is faster than verifying a digital signature)
- ▶ *Less round trips*

# How Much Smaller?

**Table 2:** Size comparison between ECC and RSA public key and certificates.

| Security Level | Public key size <sup>a</sup> (bits) |       | Ratio ECC/RSA public keys | Certificate size <sup>a</sup> (bits) |       |       | Ratio ECQV/RSA certificates |
|----------------|-------------------------------------|-------|---------------------------|--------------------------------------|-------|-------|-----------------------------|
|                | ECC                                 | RSA   |                           | ECQV                                 | ECDSA | RSA   |                             |
| 80             | 192                                 | 1024  | 5x smaller                | 193                                  | 577   | 2048  | 10x smaller                 |
| 112            | 224                                 | 2048  | 9x smaller                | 225                                  | 673   | 4096  | 18x smaller                 |
| 128            | 256                                 | 3072  | 12x smaller               | 257                                  | 769   | 6144  | 23x smaller                 |
| 192            | 384                                 | 7680  | 20x smaller               | 385                                  | 1153  | 15360 | 39x smaller                 |
| 256            | 521                                 | 15360 | 29x smaller               | 522                                  | 1564  | 30720 | 57x smaller                 |

Data show that ECC certificates are 1-2 orders of magnitude smaller than RSA certificates, depending on security level. While ECDSA certificates are a factor 4-20 smaller than RSA certificates, ECQV implicit certificates realize another factor 3 of size reduction.

<sup>a</sup>NIST-recommended key sizes.

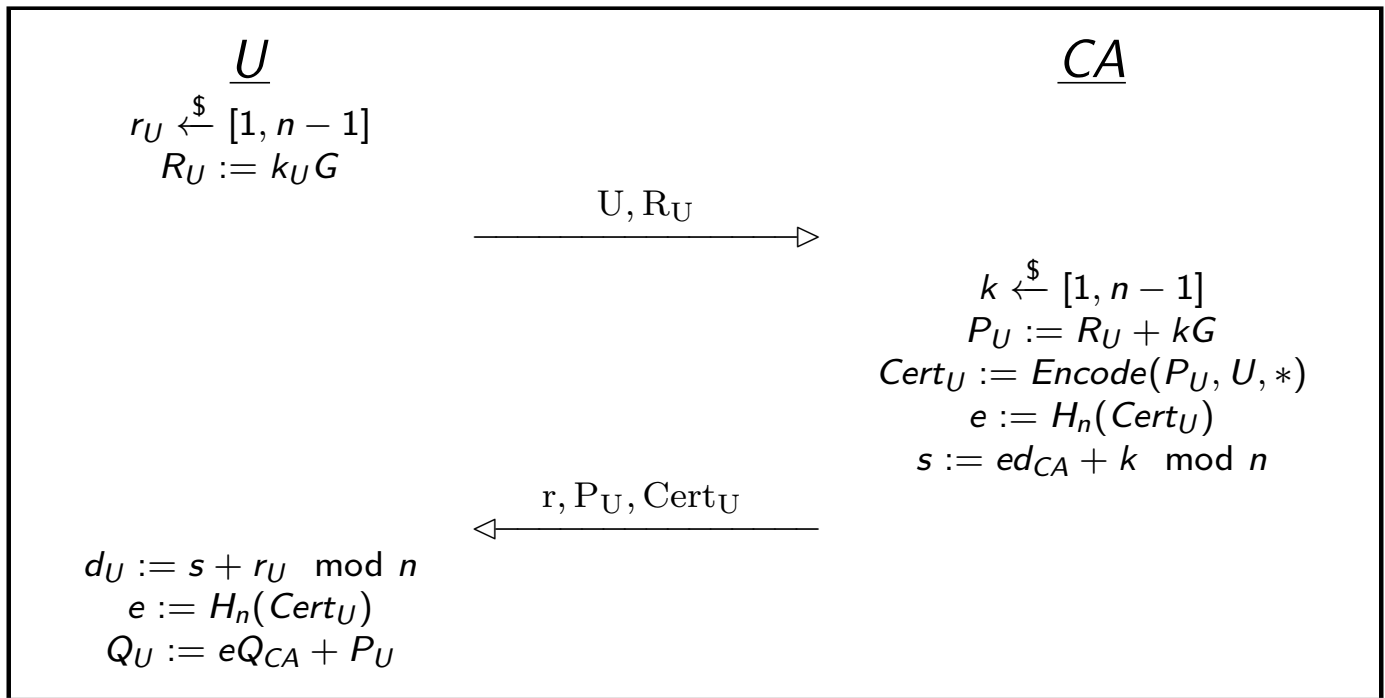
<sup>b</sup>Data based on size of public keys and digital signatures, excluding (fixed) overhead of identification data.

# How Much Faster?

**Table 3:** Operational Cost Comparisons: *Conventional versus Implicit Certificates*

| Action  | Conventional Certificate                     |  | Implicit Certificate                      |  |
|---|--|--|---|--|
|   | Operation                                    | Cost   | Operation                                 | Cost   |
| 1. Deriving the public key.   | public key extraction (key included in cert) | 0  | compute public key from signature         | Elliptic-curve point multiplication            |
| 2. Check authenticity of public keys (binding between the entity and the public key).               | signature verification                       | public-key operation   | no operation (delegated to Step 3)        | 0  |
| 3. Check authenticity of public keys in operation (binding between the entity and the private key). | evidenced by proper execution of protocol    | relatively expensive private-key operation (as part of protocol) | evidenced by proper execution of protocol | EC private-key operation (as part of protocol) |

# Optimal Mail Certificates



## Comments on Security

Both ECDSA and OMC are secure in isolation.  
Similar security does not hold under composition [1].

[1] Brown, Daniel RL, Matthew J. Campagna, and Scott A. Vanstone. "Security of ECQV-Certified ECDSA Against Passive Adversaries." IACR Cryptology ePrint Archive 2009 (2009): 620.

## The Attack Intuition

Goal: forge the signature on a message  $m$  without knowing  $U$ 's private key

1. Let  $r := X(fQ_{CA})$  (for any integer  $f$ , and where  $X(\cdot)$  returns the x-coordinate of the point  $fQ_{CA}$ )
2.  $P_U := -H(M)r^{-1}G$  (the implicit certificate)
3.  $s := rf^{-1}e \pmod n$
4. The forged signature is  $(r, s)$ , and the fake certificate is  $P$

## Verification

Bob knows the forged signature  $(r, s)$ ,  $M$ ,  $U$ , the OMC certificate  $P_U$ , and the CA public key  $Q_{CA}$ . Compute:

$$\begin{aligned} Y &:= s^{-1}(H(M)G + rQ_U) \\ &:= s^{-1}(H(M)G) + s^{-1}r(eQ_{CA} + P_U) \\ &:= s^{-1}(H(M)G) + s^{-1}reQ_{CA} - H(M)Gs^{-1}rr^{-1} \\ &:= s^{-1}reQ_{CA} \\ &:= (rf^{-1}e)^{-1}reQ_{CA} \\ &:= fQ_{CA} \end{aligned}$$

This verifies since  $Y$  computed equals  $r$  provided



## Trivial Avoidance

**Solution:** A verifier can check that  $X(fQ_{CA})P = -H(M)G$  – if so, possible forgery.

**Workaround Forgery:** Select  $l$  and  $f$  from  $[1, n - 1]$  and do the following:

1. Let  $r := X(fQ_{CA})$  (for any integer  $f$ , and where  $X(\cdot)$  returns the x-coordinate of the point  $fQ_{CA}$ )
2.  $P_U := lQ_{CA} - H(M)r^{-1}G$  (the implicit certificate)
3.  $s := (l + re)f^{-1} \pmod n$
4. The forged signature is  $(r, s)$ , and the fake certificate is  $P$

Note: the first attack occurs when  $l = 0$ . We're generalizing here...

## Workaround Check

$$\begin{aligned} Y &:= s^{-1}(H(M)G + rQ_U) \\ &:= s^{-1}(H(M)G + s^{-1}r(eQ_{CA} + P_U)) \\ &:= s^{-1}(H(M)G + r(IQ_{CA} - H(M)Gr^{-1} + eQ_{CA})) \\ &:= s^{-1}(rlQ_{CA} + reQ_{CA}) \\ &:= (f(rlQ_{CA} + reQ_{CA})) / (lr + er) \\ &:= (fQ_{CA}(rl + re)) / (lr + er) \\ &:= fQ_{CA} \end{aligned}$$

Since  $X(Y) = X(fQ_{CA}) = r$ , we accept. This version is not detectable since all values of  $l$  constitute legitimate signatures.

# Qa-Vanstone (ECQV) Implicit Certificate Scheme

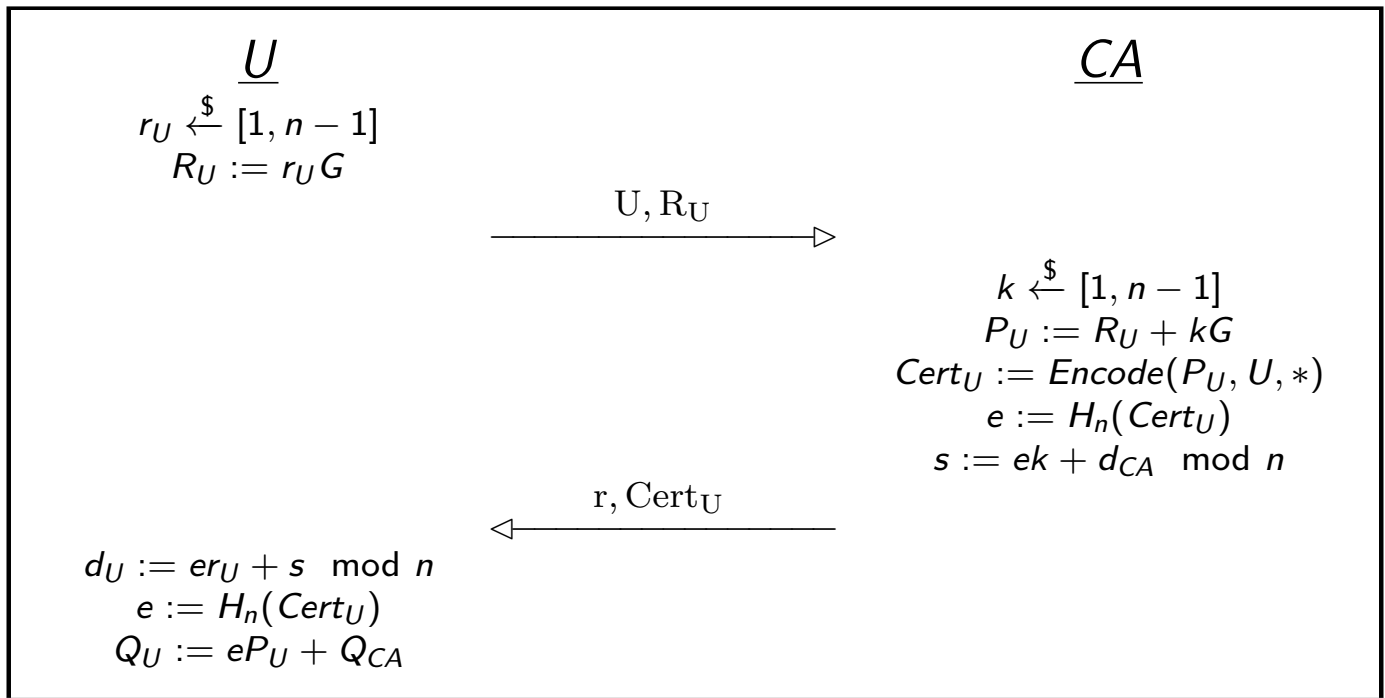
The ECQV scheme is composed of six parts [1]:

1. Setup: Agree on all system parameters, e.g.,  $(q, a, b, G)$ , hash function, etc.
2. Certificate request: Generate a request for a certificate from the CA
3. Certificate generate: Verify the requestor's identity and create an implicit certificate
4. Certificate key extraction: Compute the public key from the implicit certificate
5. Certificate reception: Check the validity of the assigned public/private key pair

[1] SEC 4: Elliptic Curve Qu-Vanstone Implicit Certificate Scheme (ECQV), Certicom Research.

<http://www.secg.org/sec4-1.0.pdf>

# ECQV Protocol



## Notable Differences

OMC and ECQV are close, but differ in one key way:

- ▶ OMC:  $s = k + ed_{CA} \pmod n$
- ▶ ECQV:  $s = d_{CA} + ek$

The same attack does not hold!

## Comments on Security

**Theorem [1]:** The ECQV implicit certificate scheme, when composed with ECDSA, is secure against passive adversaries under the combined assumption of the random oracle model and the generic group model.

- ▶ **Random Oracle:** An (adversarial-accessible) oracle in which every query is provided with a truly random and appropriate response, i.e., one chosen from the output domain. Previous queries are always supplied the same answer.
- ▶ **Generic Group:** The adversary's query is computed over elements of a generic group – i.e., not a finite field or elliptic curve.

[1] Brown, Daniel RL, Matthew J. Campagna, and Scott A. Vanstone. "Security of ECQV-Certified ECDSA Against Passive Adversaries." IACR Cryptology ePrint Archive 2009 (2009): 620.

Questions?